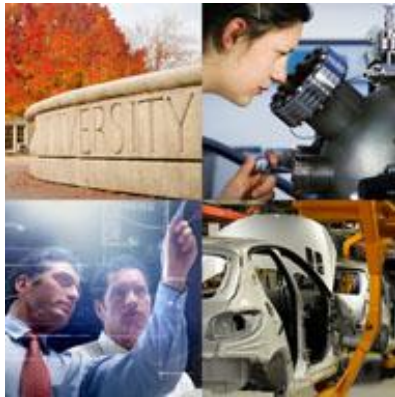


Knowledge Transfer Study 2010-2012

Workshop : knowledge transfer from universities and public research

Sofia, 1 June 2012



Commission Recommendation on the management of intellectual property in knowledge transfer activities and Code of Practice for universities and other public research organisations

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European Commission

DG Research and Innovation

An event on behalf of the
European Commission

European Commission
Research & Innovation



Contents

- Issues / Challenges
- Development at EU level
- Content of the Recommendation and Code of Practice
- Further activities

Issues / Challenges



- Improve European competitiveness in the knowledge-based economy
 - Better and **more pervasive commercial exploitation** of all forms of intellectual property
 - Professional management of IP at universities and other public research institutions
 - More and better cooperation between universities and industry
 - More granting and generating of licenses from spin-offs
 - More research and innovation to meet current social challenges



New developments on EU level

- Commission Communication on Knowledge Transfer, COM (2007) 182 final
- Commission Recommendation on Knowledge Transfer and Code of Practice C (2008) 1329
- Council Resolution on the Recommendation, 2008
- Innovation Union – Commission Communication COM (2010) 546 final
- ERA public consultation (2011) and EC communication (2012)



Innovation Union

- Commitment 20: promote open access
- Commitment 21: facilitate effective research and knowledge transfer
- Commitment 22: develop a European knowledge market
- Commitment 23: examine the role of competition policy in safeguarding against use of IPR for anti-competitive purposes



The Recommendation and Code of Practice

- Goal
 - Promotion and improvement of KT between universities and other public research institutions and industry
 - Better consistency between national KT systems

- Content
 - **Policy recommendations for governments**
 - **Internal code of conduct** for universities and other public research institutions
 - **Identified practices** that facilitate the management of intellectual property in knowledge transfer



Recommendations to Member States

- Ensure that KT is designated as a strategic task in research institutions
- Encourage research facilities to continue to lay down guidelines and procedures for the management of IP
- Promote dissemination through public funding
- Improve coherence of IP rights
- Use outlined principles as a basis for agreements on research cooperation with third countries
- Ensure the widest possible implementation of the Code of Practice
- Ensure equal and fair treatment of participants from other countries



Code of Practice

- **Principles for an internal policy on IP**
 - Develop an IP policy
 - Clear rules for staff and students
 - Identification, protection and exploitation of IP
 - Incentives for staff to support IP policy
 - Consider the creation of coherent IP portfolios and pools
 - Raise awareness and skills regarding IP and KT
 - Develop a publication/dissemination policy
- **Principles for a KT policy**
 - Consider all types of exploitation methods and partners
 - Ensure access to professional services for KT
 - Develop licensing policy and policy on spin-offs
 - Establish principles for sharing financial returns
 - Monitor intellectual property protection and KT activities
- **Principles regarding collaborative and contract research**
 - Rules, Questions, Property rights, Access rights...



Activities following the Recommendation

- **Council Resolution** of 30 May 2008
 - Welcome and support the Recommendation
 - **A partnership was requested** between Member States and the Commission as well as control mechanisms to implement the monitoring and evaluation of the enactment of the Recommendation and the Code of Practice
 - **Establishing a working group in KT under ERAC / CREST** with sub-groups on indicators and international aspects
 - **Knowledge Transfer Study**, to support ERAC



Knowledge Transfer Study 2010-2012

- **Survey of Member States** on measures and programmes to promote knowledge transfer
- **Data collection on indicators** such as patents and licenses, collaboration with industry and spin-offs
- **Survey** and interviews with universities and companies
 - In-depth qualitative information about the application of the principles of the Code of Practice and its impact
- **Workshops**
 - *Identification of barriers to knowledge transfer*
 - In-depth understanding of national / regional situations
 - Discussion and exchange of new national or specific issues
 - Promoting knowledge transfer and application of the Code of Practice principles